



2018 VIRGINIA APPALACHIAN REGIONAL COMMISSION

HOW TO APPLY WORKSHOP



ARC Background

- The Appalachian Regional Commission, or ARC, is an independent Federal agency created through the Appalachian Regional Development Act of 1965
- ARC's mission is to be an advocate for and partner with the people of Appalachia to create opportunities for self-sustaining economic development and improved quality of life



ARC and Virginia

- Virginia is one of thirteen states in the ARC region
- In Virginia, 25 counties and 8 independent cities are included in the region

Virginia's ARC Local Development Districts





ARC in Virginia

- Virginia receives funding on an annual basis and through special initiatives for distribution in the designated localities
- Virginia develops an Annual Strategy Statement to guide the use of its regular funding
- The primary components of Virginia's ARC Program are:
 - Local Development Districts
 - Area Development
 - Access Road



Funding Opportunities

- The [US Economic Development Administration](#) announced late last week new funding for coal-reliant communities and regions through the **2017 Assistance to Coal Communities (ACC 2017) initiative**. \$30 million in ACC 2017 funds is available for applications that are targeted to directly assist communities and regions severely impacted by the declining use of coal through activities and programs that support economic diversification, job creation, capital investment, workforce development, and re-employment opportunities. **Applications accepted on a rolling basis.**
- The [Department of Agriculture](#) is accepting applications to the Socially-Disadvantaged Groups Grant (SDGG). The program offers **technical assistance in directing resources to assist rural Americans in need**. Eligible applicants include cooperatives, groups of cooperatives, and cooperative development centers. Maximum awards are \$175,000 and applications are due **July 25**.
- The [National Fish and Wildlife Foundation](#) is accepting applications for its new [Central Appalachia Habitat Stewardship Program](#), which is **dedicated to restoring and sustaining healthy forests, rivers, and streams for the region's native bird and freshwater fish populations**. Up to \$1.3 million is available this year for grants in the Appalachian region of Maryland, New York, Pennsylvania, Ohio, Virginia, and West Virginia. Grants will be awarded in two categories: habitat restoration grants and focal geography grants. Applications are due **July 27**



ARC Announcements 2018 Funding (estimate)

- ❖ \$2.3 million-Area Development
- ❖ \$643,000-Distressed Counties
- ❖ \$3 million-Access Road



2018 Economic Status

10/1/2017-9/30/2018

Distressed	At-Risk	Transitional	Competitive
Buchanan	Grayson	Alleghany	Botetourt
Dickinson	Henry	Bland	
Lee	Patrick	Carroll	
Wise	Russell	Craig	
	Scott	Floyd	
		Giles	
		Montgomery	
		Pulaski	
		Rockbridge	
		Tazewell	
		Washington	
		Wythe	
		Bristol	
		Buena Vista	
		Covington	
		Lexington	
		Radford	



DHCD Happenings

Open Funding Opportunities

- Virginia Telecommunication Initiative (VATI)-*Applications due October 31, 2017*
- Community Development Block Grant (CDBG) Open Submission grants and Planning Grants-*Accepted on a rolling basis through September 30, 2017*



VIRGINIA GOVERNOR'S HOUSING CONFERENCE

Save the date!
November 15-17, 2017
Norfolk, VA



DHCD Happenings

Oak Ridge Program

High School Teachers

- We received zero (0) teacher applications.

High School Students

- Two students from Rockbridge County and Henry County

Middle School Students

- Three students from Bath County and Carroll County





ARC Strategic Investment Goals 2016-2020

- ❖ **Economic Opportunities**-Invest in entrepreneurial and business development strategies that strengthen Appalachia's economy
- ❖ **Ready Workforce**-Increase the education, knowledge, skills and health of residents to work and succeed in Appalachia
- ❖ **Critical Infrastructure** Invest in critical infrastructure-especially broadband; transportation, including the Appalachian Development Highway System; and water/wastewater systems
- ❖ **Natural and Cultural Assets**-Strengthen Appalachia's community and economic development potential by leveraging the Region's natural and cultural assets-
- ❖ **Leadership and Community Capacity**-Build the capacity and skills of current and next-generation leaders and organizations to innovate, collaborate, and advance community and economic development-NEW



ARC Virginia State Objectives

- ❖ Promote regional partnerships that support regional economic development, encourage activities that diversify the economic base, enhance entrepreneurial activities, and provide training and technical assistance to agents engaged in economic development.
- ❖ Develop a world class and highly skilled, competitive workforce that is well educated and appropriately trained for the job market, as well as provide adequate health care services throughout the Region.
- ❖ Assist communities to develop, expand, and upgrade infrastructure, including broadband to allow for greater access to resources and opportunities for increased economic growth and improve the quality of life.
- ❖ Natural and Cultural Assets: Assist communities in the preservation, development and promotion of natural and cultural assets for local economies and improve quality of life.
- ❖ Leadership and Community Capacity: Strengthen current and next generation local and regional leadership capacity to innovate, enhance collaborative and community based skills to improve quality of life in Appalachia Virginia.



ARC Funding Limits

- \$500,000 for construction projects
- \$100,000 for non-construction projects



g and Community



Area Development

- ❖ Asset Based Development: (cultural assets, natural assets, community assets, structural assets, and business / economic assets)
- ❖ Telecommunications
- ❖ Energy Efficiency
- ❖ Access Road
- ❖ Planning Grants



ARC Application Process and Timeframe

Process	Timeframe
ARC Applications Due	October 4, 2017
Funding Announcement	December/January (estimated)
DHCD Submission to ARC	May 31, 2018
ARC Approval	Between July and September 2018
DHCD Contract Negotiations	September –November 2018



Proposal Components

Strategic Project and Budget Development



Request for Proposal

Components	Construction	Non-Construction
Project Narrative	X	X
Goals and Strategies	X	X
Project Description	X	X
Strategic Rationale	X	X
Collaborative Partnerships**	X	X
Project Sustainability and Grantee Capacity	X	X
Performance Measures and Benefits	X	X
Engineer or Architect Budget	X	
Non-Funding Commitments	X	X
ARC Match Rate Calculation	X	X



Strategic Rationale

- ❖ Problem gives *insight to causes of need*
- ❖ State why *the project is needed and most practical and cost-effective*
- ❖ Make clear the scale of local/regional *demand for a solution*
- ❖ Show how project will *address the problem*



Project Description

Project Description—Target Population, Strategies, Methods, Timelines, and Capacity

- ❖ Beneficiaries
- ❖ Outline your methodology
 - ❖ How will the project be carried out?
 - ❖ Any innovative approaches?
- ❖ When will things get done
- ❖ Who will be leading the project?



Collaborative Partnerships*

- ❖ Networking partnership: to exchange information to strengthen and improve all involved partners.
- ❖ Coordinating partnership: to enable two or more organizations to work together to avoid duplicating activities and efforts.
- ❖ Cooperating partnerships: to share resources to achieve a common goal.
- ❖ Collaborative partnerships: to allow two or more organizations to work together and share risks, responsibilities, and rewards.



Project Sustainability and Capacity

- ❖ Preliminary engineer's report (PER) identifying who will own and maintain construction improvements
- ❖ Pro Forma financial projections for community facilities (museums, schools, and hospital)
- ❖ Independent assessments (i.e. feasibility study or business plan)



Leverage Financial and Non-Financial

- ❖ Detailed project budget that fits project activities and lists sources and uses of all funds by budget line item
- ❖ Detailed personnel, contractual, and indirect costs
- ❖ Provide match letters pledging specific cash or in-kind amounts
- ❖ MAI appraisal for real property offered as match or acquired
- ❖ Consistent project cost totals across all budget forms and narrative.



ARC

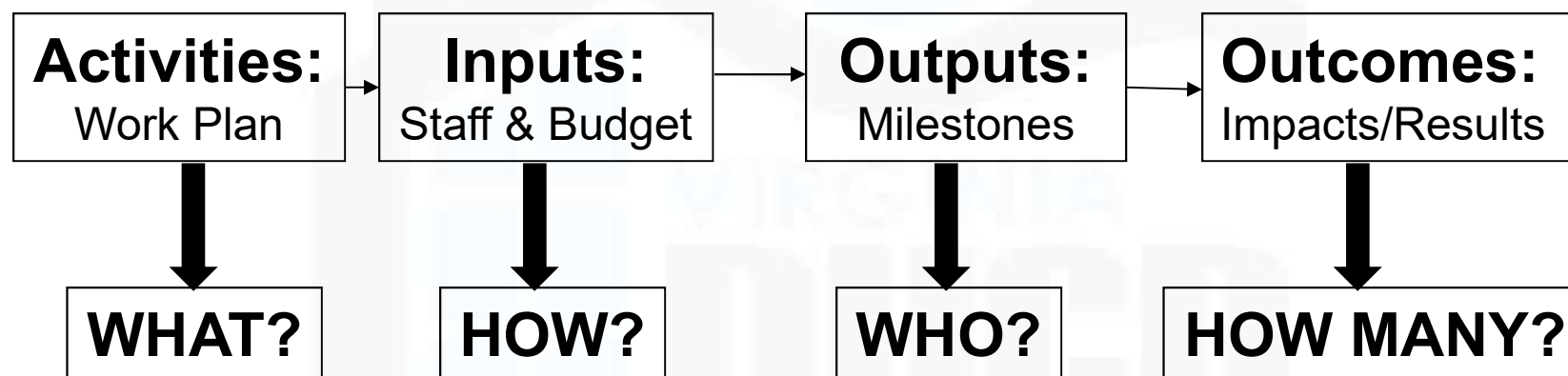
Match Requirements

All Virginia ARC assistance must be matched **dollar for dollar or 50% match** with local (non-Federal) funding, unless the project is in a:

- ❖ Distressed locality which must provide a 20% match for 80% ARC funds,
- ❖ At-Risk locality which must provide a 30% match for 70% ARC funds, or
- ❖ Competitive locality, which must provide a 70% match for 30% ARC funds.



Performance Measures Logic Model





Sample Performance Measures

Ready Workforce

PROJECT TYPE	COMMON OUTPUTS	COMMON OUTCOMES
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Education or workforce development project



Students served
Workers/trainees served

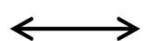


Students improved
Workers/trainees improved
Programs implemented

Healthcare access, health promotion project





Communities served
Patients served



Communities improved
Patients improved
Programs implemented



Sample Performance Measures

	PROJECT TYPE	COMMON OUTPUTS	COMMON OUTCOMES :
Economic Opportunities	Business Development, Entrepreneurship project 	Businesses served ↔	Businesses improved New businesses created Jobs created Jobs retained Leveraged private investment Export revenues increased Non-export revenues increase
	Tourism facility, revitalization, or trail construction project 	Communities served ↔ Businesses served ↔ Square feet Acreage New visitors - days New visitors – overnights	Communities improved Businesses improved Non-export (tourism) revenue increased
Natural & Cultural Assets			



Effective Performance Measures are **SMART**

Specific

Measurable

Attainable

Result-oriented

Time bound



Project Types



Sample Project Types: Construction

- ❖ Incubators & accelerators
- ❖ Business & technology parks
- ❖ Downtown revitalization
- ❖ Trails, streetscapes, museums
- ❖ School/hospital construction
- ❖ Water/Sewer systems



Sample Project Types: Non-Construction

- ❖ Small business and entrepreneurial training
- ❖ Tourism promotion
- ❖ Youth leadership academy
- ❖ Workforce training program
- ❖ Health equipment installation
- ❖ Sewer system feasibility study
- ❖ Rail feasibility study
- ❖ Economic development strategy

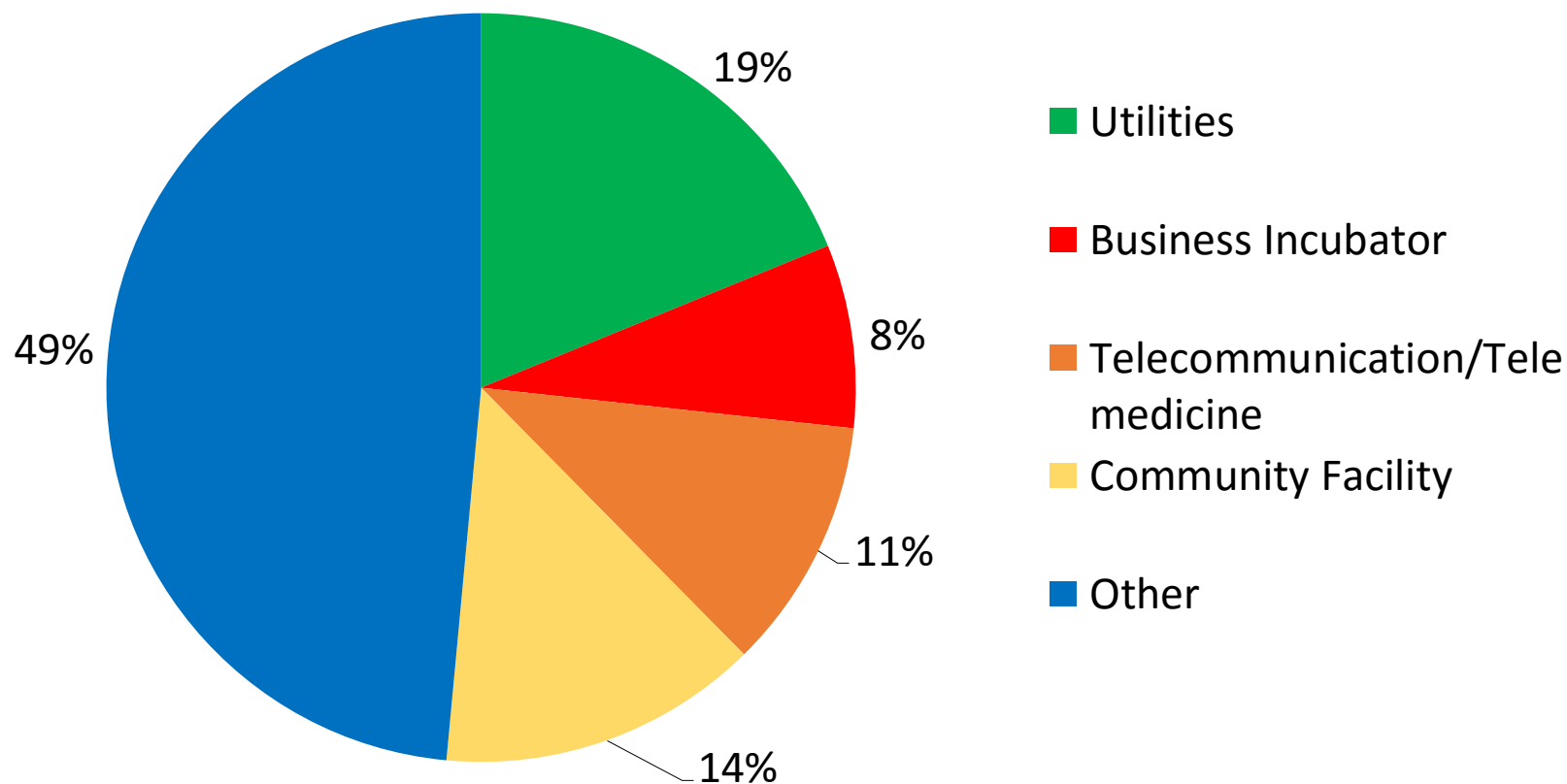


Questionable Project Types

- Municipal Services
 - Police/Corrections Department Operations
 - Fire/EMS Department Operations
 - Routine Road Maintenance
- Community Centers, Senior Centers, and Assisted Living Facilities
- Public Art With No Clear Economic Development Purpose
 - Statues
 - Murals
- Speculative Buildings with Intent to Sell to a Private Company



Virginia ARC Funded Projects 2009-2015





2017 ARC Projects

Applicant	Project Name	Award
LENOWISCO PDC	Western Lee County Economic Assessment Study	\$60,000
New River Community College	Radford City Access to Community College Education	\$76,938
Tazewell County	Falls Mills Adult Day Care	\$500,000
William King Museum	Cultural Campus Development	\$500,000
Town of St. Paul	The Lyric Project	\$300,000
Wise County PSA	Tacoma Sewer Project	\$500,000
City of Covington	Alleghany Highlands Drone Zone	\$100,000
Lee County	Frog Level Phase II Water Project	\$500,000
People Inc. of Virginia	Project Discovery	\$75,844
United Way of SWVA	Early Childhood Workforce Development	\$99,933
Total		\$2,712,715.00



2016 ARC Recommendations

Applicant	Project Name	Award Offer
City of Bristol	Passenger Rail Study	\$ 100,000
Big Stone Gap	Big Stone Gap Visitors Center	\$ 85,931
Blue Ridge Center for Chinese Medicine	Appalachian Medicinal Herb Growers Consortium – Phase II: Processing herbs and adding more farmers	\$ 125,930
Henry County	Bassett Historic Train Depot Restoration Project	\$ 500,000
Mountain Empire Community College	Healthcare Simulation Access	\$ 100,000
The Wired Road Authority	Wired Road Connector Project	\$ 300,000
Town of Damascus	Damascus Downtown Waterfront Asset Development	\$ 499,908
The Barter Foundation Inc.	Expanding the Production Capacity of Barter Theater – Phase II	\$ 500,000
Lee County	Elydale Water Line Replacement	\$ 140,000
The Crooked Road	Expanding the Crooked Road Brand	\$ 250,000
Scott County	Advanced Manufacturing Center of Excellence – Duffield Satellite	\$ 142,937
New River Valley Regional Commission	Old School Food Center at Prices Fork	\$ 500,000
Virginia Department of Housing and Community Development	Planning Grants	\$ 122,625
TOTAL:		\$ 3,367,331



Project Examples

Construction

- ❖ BARC Community Solar and Learning Center

Non-Construction

- ❖ UVA- Wise My SWVA Opportunity Regional Entrepreneurship Blueprint



Project Development Scenarios



Project Development Tips

- Begin Project Development Early
- Prospective applicants can contact DHCD and their LDD's for technical assistance on proposal development. Data is available from ARC at www.arc.gov/chartbook and www.arc.gov/data (County level data).
- Proposals due October 4, 2017 with an announcement expected by late 2017/early 2018
- Planning grant funds will be available.
- For additional information on the 2016-2020 ARC Strategic Plan visit <http://www.arc.gov/about/ARC2016-2020StrategicPlan.asp>



Questions

Tamarah Holmes, Ph.D., Associate Director
(804) 371-7056
tamarah.holmes@dhcd.virginia.gov